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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

N/A

Application Number

09/378,226

Filing Date

August 19, 1999

First Named Inventor

Mark D. Riggins

Group Art Unit

2766

Examiner Name

Unknown

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Technology Center

2100

Attorney Docket Number

43630.00011

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Assignment and Recordation Cover Sheet (for an Application)	<input type="checkbox"/> Request to Correct Filing Receipt
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Drawing(s) _____ Sheets	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input checked="" type="checkbox"/> Return Postcard
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Request for Continued Examination	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request (in duplicate)	<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Other Enclosure(s) (please identify below):
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<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (2 pages)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> PTO Form 1449 (1 page)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Declaration/Oath		

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name
Marc A. Sockol, Reg. No. 40,823
Squire, Sanders & Dempsey, L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043

Signature

Date

August 15, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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Signature

Date

August 15, 2002

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)

0

Complete If Known	
Application Number	09/378,226
Filing Date	August 19, 1999
First Named Inventor	Mark D. Riggins
Examiner Name	Unknown
Group / Art Unit	2766
Attorney Docket No.	43630.00011

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METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)			
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account Order				3. ADDITIONAL FEES			
Deposit Account Number 05-0150				Large Entity	Small Entity		
Deposit Account Name Squire, Sanders & Dempsey, L.L.P.							
The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.							
FEE CALCULATION							
1. BASIC FILING FEE							
Large Entity		Small Entity		Fee Description			
Fee Code	Fee (\$)	Fee Code	Fee (\$)				
101	740	201	370	Utility filing fee			
106	330	206	165	Design filing fee			
107	510	207	255	Plant filing fee			
108	740	208	370	Reissue filing fee			
114	160	214	80	Provisional filing fee			
SUBTOTAL (1)				(\$0)			
2. EXTRA CLAIM FEES							
Total Claims		Extra Claims		Fee from below	Fee Paid		
Independent Claims		** = 0		X	= 0		
Multiple Dependent		** = 0		X	= 0		
Large Entity		Small Entity					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description			
103	18	203	9	Claims in excess of 20			
102	84	202	42	Independent claims in excess of 3			
104	280	204	140	Multiple dependent claim, if not paid			
109	84	209	42	** Reissue independent claims over original patent			
110	18	210	9	** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)				(\$0)			
Other fee (specify) _____							
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)			
				(\$0)			

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)
Name (Print/Type)	Marc A. Sockol	Registration No. Attorney/Agent)	40,823	Telephone	650.856.6500	
Signature				Date	August 15, 2002	

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PATENT
ATTORNEY DOCKET NO.: 43630.00011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Date: August 15, 2002

By: Sandy Yi
Sandy Yi

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In Re Application of:	
Mark D. Riggins	Examiner: Unknown
Serial No.: 09/378,226	Art Unit: 2766
Filed: August 19, 1999	
For: SYSTEM AND METHOD FOR ENCRYPTING AND DECRYPTING FILES	

Commissioner for Patents
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR §§1.97(c) WITH CERTIFICATION**

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56 and pursuant to 37 CFR §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the enclosed Form PTO-1449. One copy of each cited reference is submitted herewith.

The present Supplemental Information Disclosure Statement is being filed after receiving the first office action and before receiving an action closing prosecution on the merits. Accordingly, as specified in §1.97(e)(1), Applicant's undersigned attorney hereby certifies:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

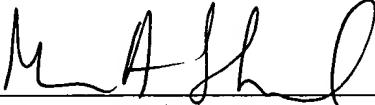
The Examiner is requested to make this information of official record in the application. Please initial and return the enclosed Form PTO-1449, indicating that the references have been considered and made of record herein.

The information presented herewith is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. 05-0150.

Date: August 15, 2002
Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043
Telephone (650) 856-6500
Facsimile (650) 843-8777

Respectfully submitted,

By: 
Marc A. Sockol, Esq.
Attorney for Applicants
Reg. No. 40,823